

11/01/2022

Re: GLBA Compliance Annual Audit

In accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200), a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single audit conducted in accordance with § 200.514 except when it elects to have a program-specific audit conducted in accordance with paragraph (c) of this section.

Guidance for auditors now specifies that the auditor should determine whether the institution is in compliance with GLBA, specifically three areas noted in 16 CFR314.4(b).

The Office of Financial Affairs and the Office of Financial Aid coordinate and manage the annual audit for Regis University and provide contact information for the Director Information Security/Information Security Officer (ISO) who will supply evidence to support compliance with GLBA.